

# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

Application Number	09/458,302
Filing Date	December 10, 1999
First Named Inventor	FIKES, John, et al.
Group Art Unit	1644
Examiner Name	R. Schwadron

Attorney Docket Number

18623014400

**ENCLOSURES (check all that apply)**

- Fee Transmittal Form
- Fee Attached
- Amendment / Response
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers  
*(for an Application)*
- Drawing(s)
- Licensing-related Papers
- Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (*Appeal Notice, Brief, Reply Brief*)
- Proprietary Information
- Status Letter
- Other Enclosure(s)  
*(please identify below):*

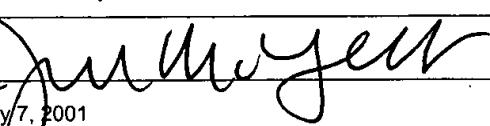
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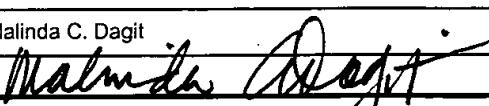
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm and Individual name	Townsend and Townsend and Crew LLP Jean M. Lockyer, Ph.D.	Reg No. 44,879
Signature		
Date	May 7, 2001	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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Date	7 May 2001

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Attorney Docket No.: 18623-014400US

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On 7 May 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Malmira Dogst

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN FIKES et al.

Application No.: 09/458,302

Filed: December 10, 1999

For: INDUCING CELLULAR IMMUNE  
RESPONSES TO  
CARCINOEMBRYONIC ANTIGEN  
USING PEPTIDE AND NUCLEIC ACID  
COMPOSITIONS

Examiner: R. Schwadron

Art Unit: 1644

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report as issued in the corresponding PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

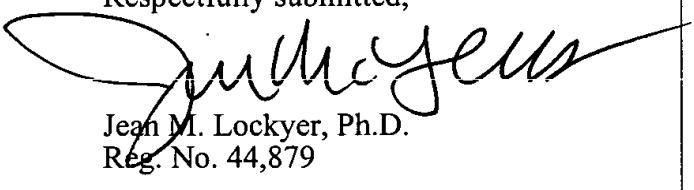
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

CERTIFICATION

I hereby certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

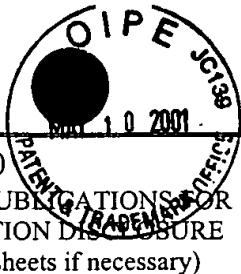
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the under the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Jean M. Lockyer, Ph.D.  
Reg. No. 44,879

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.